A GENERALIZATION OF BANCHOFF'S TRIPLE POINT THEOREM

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ABSTRACT. Consider an immersion of a surface into S^3 . Banchoff's theorem [B] states that the parity of the number of triple points and the parity of the Euler characteristic of the surface coincide. Here we generalize this theorem to codimension 1 immersions of arbitrary even dimensional manifolds in spheres. The proof is an analogue of a proof of Banchoff's theorem circulated in preprint form by R. Fenn and P. Taylor in 1977 [FT].

Let us consider a codimension 1 smooth generic (i.e. self-transverse) immersion f of a closed manifold M^n in the sphere S^{n+1} . Let us recall how a neighborhood of an *i*-tuple point (in $R^{n+1} \subset S^{n+1}$) looks like in such a self-transverse immersion. Consider the coordinate hyperplanes in R^i and take the direct product of this configuration with R^{n+1-i} . What is obtained is diffeomorphic to the neighborhood of an *i*-tuple point in the image of f.

For any natural number $i, 1 \leq i \leq n+1$, let us denote by $\tilde{\Delta}_i$ the set of *i*-tuple points in S^{n+1} i.e.

 $\tilde{\Delta}_i = \{ y \in S^{n+1} \mid f^{-1}(y) \text{ consists of } i \text{ different points} \}.$

As it is well known, $\dim \tilde{\Delta}_i = n + 1 - i$, and $\bigcup_{r=i}^{\infty} \tilde{\Delta}_r$ is an immersed manifold (although it is not in general position i.e. it is the image of a *non*-selftransverse immersion). Let Δ_i be a closed manifold such that $\bigcup_{r=i}^{\infty} \tilde{\Delta}_r$ is the image of an immersion of Δ_i in S^{n+1} .

Remark. Of course, many different manifolds can be immersed into S^{n+1} so that their images are $\bigcup_{r=i}^{\infty} \tilde{\Delta}_r$. For example if a possible Δ_i is given, then any of its finite coverings serves as well. We make the choice of Δ_i explicit by assuming that the *i*-tuple points of f are non-multiple points of the immersion $\Delta_i \hookrightarrow S^{n+1}$.

We shall call the manifold Δ_i the *i*-tuple manifold of f. Our theorem claims that for n even the sum of the Euler characteristics of *i*-tuple manifolds is even. (For n = 2 this is exactly Banchoff's theorem.)

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Theorem. If n > 0 is even, then

$$\sum_{i=1}^{n+1} \chi(\Delta_i) \equiv 0 \mod 2.$$

The following proof is an analogue of the proof in [FT] for Banchoff's triple point theorem.

Proof. Since n is even, we can omit the terms corresponding to even i's, because in those cases the dimension of Δ_i is odd. Now let us triangulate the *image* of f such a way that for any i the set of points of multiplicity i or higher forms a subcomplex of f(M).

Let α_r^i denote the number of *i*-dimensional simplexes whose interiors lie in Δ_r , and let

$$\beta_r = \alpha_r^0 - \alpha_r^1 + \ldots \pm \alpha_r^{n+1-r}$$

Observe, that β_r is *not* the Euler characteristic of any complex. However, we have that

$$\chi(\Delta_i) = \sum_{r=i}^{n+1} \binom{r}{i} \beta_r.$$

The coefficient $\binom{r}{i}$ counts the multiplicity of the self-intersection of Δ_i at $\tilde{\Delta}_r$. So

$$\sum_{i=1}^{n+1} {}^{*}\chi(\Delta_i) = \sum_{i=1}^{n+1} {}^{*}\sum_{r=i}^{n+1} \binom{r}{i} \beta_r,$$

where * indicates that the sum is taken only for odd *i*'s. After changing the order of the summations we get:

(1)
$$\sum_{r=1}^{n+1} \left(\sum_{i=1}^{r} {}^{*} {\binom{r}{i}} \right) \beta_{r} = \sum_{r=1}^{n+1} 2^{r-1} \beta_{r} \equiv \beta_{1} \mod 2.$$

Now let us color the complement of f(M) in S^{n+1} in two colors in a chessboardstyle, i. e. let any two neighboring domains have different colors (where "neighboring" means that they are separated by a component of $\tilde{\Delta}_1$). This is possible, since $H_n(S^{n+1}; Z_2) = 0.$

Let N be the boundary of an ε -neighborhood of f(M) in the black subset of S^{n+1} . Notice, that from the given triangulation of f(M) we can construct a triangulation of N by pushing the simplexes from f(M) to N in a reasonable way. Simplexes in $\tilde{\Delta}_i$ will have 2^{i-1} counterparts in N (*i* hyperplanes divide the Euclidean *n*-space into 2^i parts, half of which are black). Thus:

$$\chi(N) = \sum_{i=1}^{n+1} 2^{i-1} \beta_i \equiv \beta_1 \mod 2.$$

But $\chi(N)$ is even, because N is embedded in codimension 1 (and n > 0), so the proof is complete.

Remark 1. As it is clear from the proof, the space S^{n+1} can be replaced by any manifold such that its $n^{\text{th}} Z_2$ -homology group is 0.

Remark 2. The above proof does not work for n odd, since the sum $\sum_{i=1}^{r} {}^{*} {r \choose i}$ (where the star this time means summation for even i's) equals to $2^{r-1} - 1$, so the sum in formula (1) gives $\sum_{r=2}^{n+1} \beta_r$ (which is clearly the Euler characteristic of the complex f(M)).

The figure 8 immersion of the circle in the plane shows that the statement of the theorem is false for n = 1. A theorem of Freedman [F] (and its generalization to unoriented 3-manifolds given in [A]) shows that it is true for n = 3. We do not know whether it is true or not for n > 3.

Remark 3. If we consider only oriented *n*-manifolds and their codimension 1 immersions in S^{n+1} , and the n^{th} stable homotopy group of spheres has no 2-primary torsion, then the Euler characteristics of the *i*-tuple manifolds are all even, for any *i*. (Indeed, for any $i \chi(\Delta_i) \mod 2$ defines a homomorphism from the stable homotopy group $\pi_{n+N}(S^N)$, N >> n to Z_2 .)

In particular the statement of the theorem is true for n = 5 or n = 13 for oriented manifolds.

Remark 4. If the dimension n = 4, then more is true than it is stated in the theorem, namely all $\chi(\Delta_i)$'s are even, since the stable homotopy group $\pi_5^s(RP^{\infty})$ vanishes (see [L]), and this group is isomorphic to the cobordism group of immersions of 4-manifolds into R^5 .

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